# Functorial Decomposition of Colimits in Categories and a Generalized Fubini Formula

# Walter Tholen Joint work with George Peschke

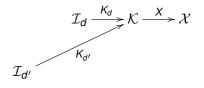
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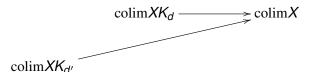
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# Colimit Decomposition Formula

$$D: \mathcal{D} \longrightarrow \mathbf{Cat}, \ d \mapsto \mathcal{I}_d, \ (K_d: \mathcal{I}_d \longrightarrow \mathcal{K})_d \text{ colimit}, \ X: \mathcal{K} \longrightarrow \mathcal{X}$$

$$\operatorname{colim}^{\mathcal{K}} X \cong \operatorname{colim}^{d \in \mathcal{D}} (\operatorname{colim}^{\mathcal{I}_d} X K_d).$$





#### Compare: Fubini Formula for iterated colimits

$$\operatorname{colim}^{\mathcal{I} \times \mathcal{I}} X \cong \operatorname{colim}^{i \in \mathcal{I}} (\operatorname{colim}^{\mathcal{I}} X(i, -)).$$

$$\mathcal{J} \xrightarrow{K_i} \mathcal{I} \times \mathcal{J} \xrightarrow{X} \mathcal{X}$$

$$\operatorname{colim} X K_i \xrightarrow{} \operatorname{colim} X$$

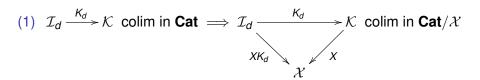
$$\operatorname{colim} X K_{i'}$$

#### Questions

- Are these just instances of "(co)limits commute with (co)limits"?
- What about the dualized formulae?
- Is the Fubini Formula an instance of the Decomposition Formula?
- All this should not be new stuff: References?

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- (2)  $\operatorname{Cat}/\mathcal{X} \longrightarrow \operatorname{Diag}(\mathcal{X}) = \operatorname{Cat}/\!/\mathcal{X}$  preserves colimits (does it?)
- (3)  $\mathcal{X}$  cocomplete  $\iff \mathcal{X} \longrightarrow \mathsf{Diag}(\mathcal{X})$  has a left adjoint colim, which therefore preserves the colimit of (1)

#### Conclusion:

Colimit Decomposition Formula holds in every cocomplete category  $\mathcal{X}$ .

(1) 
$$\mathcal{I}_d \xrightarrow{\mathcal{K}_d} \mathcal{K}$$
 colim in **Cat**  $\Longrightarrow \mathcal{I}_d \xrightarrow{\mathcal{K}_d} \mathcal{K}$  colim in **Cat**/ $\mathcal{X}$ 

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$$\mathsf{Diag}(\mathcal{X}): \qquad (F,\alpha): X \longrightarrow Y \qquad \qquad \mathcal{I} \xrightarrow{F} \mathcal{J}$$

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Trivially:  $Cat/\mathcal{X} \longrightarrow Diag(\mathcal{X})$  preserves coproducts. Coequalizers:

$$\mathcal{I} \xrightarrow{F} \mathcal{J} \xrightarrow{H} \mathcal{K}$$

Suffices to show:  $\forall X, Y : \mathcal{K} \longrightarrow \mathcal{X}, \nu : XH \longrightarrow YH :$ 

$$\nu F = \nu G \implies \exists! \rho : X \longrightarrow Y (\nu = \rho H)$$

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### We better check claim(2)! Finish:

For k = Hj, put  $\rho_k := \nu_j$ ; well-defined since  $\nu F = \nu G$ .

Show naturality in  $r = Hf_n \cdot ... \cdot Hf_2 \cdot Hf_1 : k \longrightarrow k'$  in  $\mathcal{K}$ ,

with  $f_t: j_t \longrightarrow j'_t (t = 1, ..., n)$  in  $\mathcal{J}$ . Case n = 2 should suffice here.

Since  $k = Hj_1, Hj'_1 = Hj_2, Hj'_2 = k'$ 

$$Xk = XHj_1 \xrightarrow{XHf_1} XHj'_1 = XHj_2 \xrightarrow{XHf_2} XHj'_2 = Xk'$$

$$\rho_k = \left| \nu_{j_1} \right| \qquad \nu_{j'_1} = \left| \nu_{j_2} \right| \qquad \nu_{j'_2} \left| = \rho_{k'} \right|$$

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commutes - done!



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#### Connection with Fubini?

Given

$$D: \mathcal{D} \longrightarrow \textbf{Cat}, \ (u: d \rightarrow e) \mapsto (u_!: \mathcal{I}_d \rightarrow \mathcal{I}_e),$$

form the Grothendieck category

$$\mathcal{G} = \int_{d \in \mathcal{D}}^{\circ} \mathcal{I}_d$$

$$(u,\varphi):(d,x)\longrightarrow (e,y) \text{ in } \mathcal{G} \text{ means } u:d\longrightarrow e \text{ in } \mathcal{D}, \varphi:u_!(x)\longrightarrow y \text{ in } \mathcal{I}_e$$

Example:

 $D: \mathcal{I} \longrightarrow \mathbf{Cat}, \ i \mapsto \mathcal{J}.$  Then

$$\int_{i\in\mathcal{I}}^{\circ}\mathcal{J}=\mathcal{I}\times\mathcal{J}$$



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#### Generalized Fubini Formula

For  $D: \mathcal{D} \longrightarrow \mathbf{Cat}$ , the fibres of the optibration  $\mathcal{G} \longrightarrow \mathcal{D}$ ,  $(d, x) \longrightarrow d$ , are (the images of)

$$J_d: \mathcal{I}_d \longrightarrow \mathcal{G}, (\varphi: X \longrightarrow X') \mapsto ((1_d, \varphi): (d, X) \longrightarrow (d, X')),$$

**THEOREM** 

For  $Y: \int^{\circ} D \longrightarrow \mathcal{X}$ , let the colimits  $\tilde{Y}d \cong \operatorname{colim}^{\mathcal{I}_d} YJ_d$  exist in  $\mathcal{X}$ , for all objects  $d \in \mathcal{D}$ . Then the colimit of Y exists in  $\mathcal{X}$  if, and only if, the colimit of  $\tilde{Y}: \mathcal{D} \longrightarrow \mathcal{X}$  exists, in which case the two colimits coincide:

$$\operatorname{colim}^{\int^{\circ} D} Y \cong \operatorname{colim}^{d \in \mathcal{D}} (\operatorname{colim}^{\mathcal{I}_d} Y J_d).$$



### Fubini implies the Decomposition Formula: Proof 2

Given  $D: \mathcal{D} \longrightarrow \mathbf{Cat}$ , consider

$$Q: \int^{\circ} D \longrightarrow \operatorname{colim} D$$

with  $QJ_d = K_d \ (d \in \mathcal{D})$ :

$$Q: \mathcal{G} \longrightarrow \mathcal{K}, \ ((u,\varphi): (d,x) \longrightarrow (e,y)) \mapsto (K_e \varphi: K_d x = K_e u_! x \longrightarrow K_e y).$$

PROPOSITION

*Q* is final, *i.e.*, the categories  $k \downarrow Q$   $(k \in \mathcal{K})$  are connected.

#### COROLLARY

For every  $X : \mathcal{K} \longrightarrow \mathcal{X}$  such that  $\operatorname{colim}^{\mathcal{G}} XQ$  exists in  $\mathcal{X}$ , also  $\operatorname{colim}^{\mathcal{K}} X$  exists, and the canonical  $\operatorname{colim} XQ \longrightarrow \operatorname{colim} X$  is an isomorphism.

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# Observation: Diag(X) as a Grothendieck category!

$$\mathcal{X}^{(-)}: \textbf{Cat}^{op} \longrightarrow \textbf{CAT}, \ (F: \mathcal{I} \longrightarrow \mathcal{J}) \mapsto (F^{\star}: \mathcal{X}^{\mathcal{J}} \longrightarrow \mathcal{X}^{\mathcal{I}}, \ Y \mapsto YF)$$
 
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Obtain the fibration

$$P_{\mathcal{X}}: \mathsf{Diag}(\mathcal{X}) \longrightarrow \mathbf{Cat}, \ \mathcal{I} \xrightarrow{F} \mathcal{J} \mapsto F$$

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$$\begin{array}{ll} \textit{$P_{\mathcal{X}}$ opfibration} & \iff \forall \textit{$F:\mathcal{I} \longrightarrow \mathcal{J}$} & \exists \textit{$F_!} \dashv \textit{$F^*:\mathcal{X}^{\mathcal{I}} \longrightarrow \mathcal{X}^{\mathcal{I}}$} \\ & \iff \forall \textit{$F:\mathcal{I} \longrightarrow \mathcal{J}$}, \; \textit{$X:\mathcal{I} \longrightarrow \mathcal{X}:$} & \text{Lan}_{\textit{F}}\textit{X} \; \text{exists} \\ & \iff \mathcal{X} \; \text{cocomplete} \end{array}$$

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Colimits in  $Diag(\mathcal{X})$ ?



### Lifting theorem for (co)limits along a bifibration

For a bifibration  $P: \mathcal{E} \longrightarrow \mathcal{B}$  and any diagram category  $\mathcal{D}$ , let  $\mathcal{B}$  and all fibres  $\mathcal{E}(B)$  ( $B \in \mathcal{B}$ ) be  $\mathcal{D}$ -(co)complete. Then  $\mathcal{E}$  is also  $\mathcal{D}$ -(co)complete, and P preserves the  $\mathcal{D}$ -(co)limits.

#### Construction:

Step 1: Given  $D: \mathcal{D} \longrightarrow \mathcal{E}$ , form colimit  $(\beta_d: PDd \longrightarrow B)$  in  $\mathcal{B}$ .

Step 2: Form a cocartesian lifting  $\alpha_d : Dd \longrightarrow Ld$  of every  $\beta_d$ .

Step 3: Form colimit  $(\lambda_d : Ld \longrightarrow E)$  in the fibre  $\mathcal{E}(B)$ .

Conclusion:  $(\lambda_d \cdot \alpha_d : Dd \longrightarrow E)$  is a colimit of D in  $\mathcal{E}$ .

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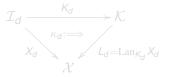
# Apply the lifting theorem to $P_{\mathcal{X}}$ : Diag $(\mathcal{X}) \longrightarrow \mathbf{Cat}$

 $\mathcal{X}$  cocomplete (so that  $P_{\mathcal{X}}$  is a bifibration),  $D: \mathcal{D} \longrightarrow Diag(\mathcal{X})$ 

$$(u:d\longrightarrow e) \quad \mapsto \quad \mathcal{I}_d \xrightarrow{u_!} \mathcal{I}_e$$

$$X_d \xrightarrow{\alpha^u:\Longrightarrow} X_e$$

Step 1: Form colimit  $(K_d : \mathcal{I}_d \longrightarrow \mathcal{K})$  in **Cat**.



Step 3: Form colimit  $(\lambda_d : L_d \longrightarrow X)$  in  $\mathcal{X}^{\mathcal{K}}$ .

Conclusion:  $(K_d, \lambda_d K_d \cdot \kappa_d) : (\mathcal{I}_d, X_d) \longrightarrow (\mathcal{K}, X)$  is a colimit in  $Diag(\mathcal{X})$ .

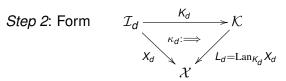
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### Generalized Colimit Decomposition Formula: Proof 3

#### **THEOREM**

For 
$$\mathcal{X}$$
 cocomplete,  $D: \mathcal{D} \longrightarrow \mathsf{Diag}(\mathcal{X}), \ d \mapsto (X_d: \mathcal{I}_d \longrightarrow \mathcal{X}),$  one has  $\mathsf{colim}^{\mathcal{K}} X \cong \mathsf{colim}^{d \in \mathcal{D}}(\mathsf{colim}^{\mathcal{I}_d} X_d),$ 

with  $(K_d : \mathcal{K} \longrightarrow \mathcal{I}_d)$  colimit in **Cat** and  $X = \operatorname{colim}^{d \in \mathcal{D}}(\operatorname{Lan}_{K_d} X_d)$  in  $\mathcal{X}^{\mathcal{K}}$ .

COROLLARY (Colimit Decomposition Formula)

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 $\operatorname{colim}^{\mathcal{K}} X \cong \operatorname{colim}^{d \in \mathcal{D}} (\operatorname{colim}^{\mathcal{I}_d} X K_d).$ 

THM  $\Longrightarrow$  COR: Show that X is a "joint left Kan extension" of  $(XK_d)_{d\in\mathcal{D}}!$ 

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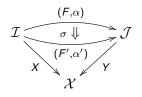
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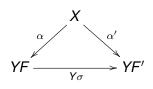
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### Better late than never: Diag( $\mathcal{X}$ ) is a 2-category!



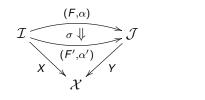


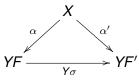
 $P_{\mathcal{X}}: \mathsf{Diag}(\mathcal{X}) \longrightarrow \mathsf{Cat} \text{ is a 2-functor!}$ 

Likewise for

$$P_{\mathcal{X}}^* : \mathsf{Diag}^*(\mathcal{X}) = (\mathsf{Diag}(\mathcal{X}^{\mathsf{op}}))^{\mathsf{co}} \longrightarrow \mathbf{Cat}.$$

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### 2-fibrations: Hermida 1999, Buckley 2014

$$P: \mathcal{E} \longrightarrow \mathcal{B}$$
 2-functor  
1-cell  $f: D \longrightarrow E$  2-cartesian :  $\iff \mathcal{E}(C, D) \xrightarrow{\mathcal{E}(C, f)} \mathcal{E}(C, E)$   
 $\downarrow P_{C,D} \downarrow \qquad \qquad \downarrow P_{C,E}$   
 $\mathcal{B}(PC, PD)_{\overrightarrow{\mathcal{B}(PC,Pf)}} \mathcal{B}(PC, PE)$ 

#### pullback in **Cat**, for all $C \in \mathcal{E}$ .

2-cell 
$$\sigma: f \longrightarrow f': D \longrightarrow E$$
 2-cartesian :  $\iff \sigma$  cartesian w.r.t.  $P_{D,E}: \mathcal{E}(D,E) \longrightarrow \mathcal{B}(PD,PE)$ .

- P 2-fibration :  $\iff$
- (a) every 1-cell has a 2-cartesian lifting;
- (b)  $\forall D, E \in \mathcal{E}$ :  $P_{D,E} : \mathcal{E}(D,E) \longrightarrow \mathcal{B}(PD,PE)$  is (ordinary) fibration;
- (c) 2-cartesianness of 2-cells is preserved by horizontal composition.

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- (c) 2-cartesianness of 2-cells is preserved by nonzontal composition.

#### 2-fibrations: Hermida 1999, Buckley 2014

$$P: \mathcal{E} \longrightarrow \mathcal{B}$$
 2-functor

1-cell 
$$f: D \longrightarrow E$$
 2-cartesian:  $\iff \mathcal{E}(C, D) \xrightarrow{\mathcal{E}(C, f)} \mathcal{E}(C, E)$ 

$$\downarrow_{P_{C, D}} \qquad \qquad \downarrow_{P_{C, E}}$$

$$\mathcal{B}(PC, PD)_{\overrightarrow{\mathcal{B}(PC, Pf)}} \mathcal{B}(PC, PE)$$

pullback in **Cat**, for all  $C \in \mathcal{E}$ .

2-cell  $\sigma: f \longrightarrow f': D \longrightarrow E$  2-cartesian :  $\iff \sigma$  cartesian w.r.t.

 $P_{D,E}: \mathcal{E}(D,E) \longrightarrow \mathcal{B}(PD,PE).$ 

- *P 2-fibration* :  $\iff$
- (a) every 1-cell has a 2-cartesian lifting;
- (b)  $\forall D, E \in \mathcal{E}$ :  $P_{D,E} : \mathcal{E}(D,E) \longrightarrow \mathcal{B}(PD,PE)$  is (ordinary) fibration;
- (c) 2-cartesianness of 2-cells is preserved by horizontal composition.

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# Setting the 2-categorical stage

 $P: \mathcal{E} \longrightarrow \mathcal{B}$  2-opfibration:  $\iff P^{\text{coop}} : \mathcal{E}^{\text{coop}} \longrightarrow \mathcal{B}^{\text{coop}}$  2-fibration.

#### **THEOREM**

- (1)  $P_{\mathcal{X}}^* : \mathsf{Diag}^*(\mathcal{X}) \longrightarrow \mathbf{Cat}$  is a 2-fibration (for all  $\mathcal{X}$ ).
- (2)  $\mathcal{X}$  is cocomplete  $\Longrightarrow P_{\mathcal{X}} : \text{Diag}(\mathcal{X}) \longrightarrow \textbf{Cat}$  is a 2-opfibration.

#### Current and future work

- Applications of the ordinary formulae
- 2-versions of Proofs 1, 2, 3 ?
- Enriched versions?
- Higher dimensions?

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#### Questions?

#### **THANKS**